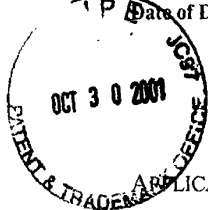


Express Mail Label No.: EL 862127096 US

Date of Deposit: October 30, 2001

#4 P. R. R.
2/15/02
Attorney Docket No. 23908-501



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

DEC 26 2001

APPLICANT: Rina Goldshtein

SERIAL NUMBER: 09/966,847

EXAMINER: Not Yet Assigned

FILING DATE: September 28, 2001

ART UNIT: 1623

TECH CENTER 1600/2900

FOR: HYDROPHILIC COMPLEXES OF LIPOPHILIC MATERIALS AND AN APPARATUS
AND METHOD FOR THEIR PRODUCTION

October 30, 2001
Boston, Massachusetts

Assistant Commissioner For Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Applicant requests a Corrected Filing Receipt for the above-mentioned patent application. Applicant encloses a copy of the Filing Receipt with the corrections noted thereon. Issuance of a corrected filing receipt is respectfully requested.
2. There are errors with respect to the following data, which are:
☐ incorrectly entered
and/or
☒ omitted.

Error in

Correct data

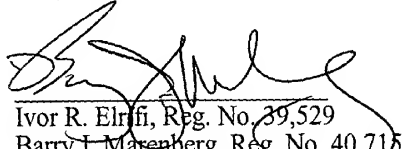
- | | | |
|----|-------------------------------------|-----------------------------|
| 1. | <input type="checkbox"/> | Applicant's name |
| 2. | <input type="checkbox"/> | Applicant's address |
| 3. | <input type="checkbox"/> | Title |
| 4. | <input type="checkbox"/> | Filing Date |
| 5. | <input type="checkbox"/> | Serial Number |
| 6. | <input type="checkbox"/> | Foreign/PCT Application Re: |
| 7. | <input type="checkbox"/> | Continuing Data |
| 8. | <input checked="" type="checkbox"/> | Other: Attorney Information |

Ivor R. Elrifi, Ph.D., Esq.
Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C.
One Financial Center
Boston, MA 02111

Applicant: Rina Goldshtein
U.S.S.N. 09/966,847

3. ☒ Applicant believes that no fee is due. However, the Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to Deposit Account No. 50-0311, Ref. No. 23908-501.

Respectfully submitted,



Ivor R. Elrifi, Reg. No. 39,529
Barry J. Marenberg, Reg. No. 40,715
Attorneys for Applicants
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

Dated: October 30, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2
COPYCOMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/966,847	09/28/2001	1623	696	23908-501	1	49	5

Ivor R. Elrif, Ph.D., Esq.

MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, MA 02111

RECEIVED

OCT 26 2001

MINTZ LEVIN, BOSTON
PATENT DOCKET DEPT.

CONFIRMATION NO. 5004

FILING RECEIPT



OC000000006962149

Date Mailed: 10/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Rina Goldshtein, Har Hebron, ISRAEL;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 10/23/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts ✓

Non-Publication Request: No ✓

Early Publication Request: No

** SMALL ENTITY **

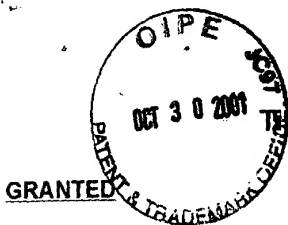
Title

Hydrophilic complexes of lipophilic materials and an apparatus and method for their production

Preliminary Class

514

RECEIVED
DEC 26 2001
TECH CENTER 1600/2900



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

RECEIVED

DEC 26 2001

TECH CENTER 1600/2900

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

RECEIVED

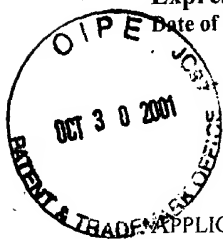
DEC 26 2001

TECH CENTER 1600/2900

Express Mail Label No.: EL 862127096 US

Date of Deposit: October 30, 2001

Attorney Docket No. 23908-501



1212-01

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

DEC 26 2001

TECH CENTER 1600/290

APPLICANT: Rina Goldshtein

SERIAL NUMBER: 09/966,847

EXAMINER: Not Yet Assigned

FILING DATE: September 28, 2001

ART UNIT: 1623

FOR: HYDROPHILIC COMPLEXES OF LIPOPHILIC MATERIALS AND AN APPARATUS
AND METHOD FOR THEIR PRODUCTION

October 30, 2001

Boston, Massachusetts

Assistant Commissioner For Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

1. Request For Correction of Filing Receipt;
2. A copy of Filing Receipt with changes noted thereon; and
3. Return receipt postcard.

Although Applicant believes that no fees are due in connection with this submission, the Commissioner is authorized to charge any deficiencies to Deposit Account No. 50-0311, Reference No. 23908-501. A duplicate copy of this Transmittal is enclosed.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529

Barry J. Marenberg, Reg. No. 40,715

Attorneys for Applicants

c/o MINTZ, LEVIN

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000

Fax: (617) 542-2241

